

## **TURTLE WEALTH MANAGEMENT PVT. LTD.**

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### **Complaint Register for PMS (INP000006758)**

#### **A. DATA FOR THE MONTH ENDING ON December 31<sup>st</sup>, 2025: (File Uploaded on 2<sup>nd</sup> January)**

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time <sup>^</sup> (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>NA</b>

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint, in days, in the current month divided by total number of complaints resolved in the current month.

#### **B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS:**

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1.	August 2025	Nil	Nil	Nil	Nil
2.	September 2025	Nil	Nil	Nil	Nil
3.	October 2025	Nil	Nil	Nil	Nil
4.	November 2025	Nil	Nil	Nil	Nil
5.	December 2025	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

#### **C. TREND OF ANNUAL DISPOSAL OF COMPLAINTS:**

Sr. No.	Year	Carried forward from previous year	Received	Resolved**	Pending##
1.	FY 2022-23	NA	Nil	Nil	Nil
2.	FY 2023-24	NA	1	1	Nil
3.	FY 2024-25	NA	1	1	Nil
4.	FY 2025-26	NA	Nil	Nil	Nil
	<b>Grand Total</b>	<b>NA</b>	<b>2</b>	<b>2</b>	<b>Nil</b>

**Note:**

SEBI letter no. SEBI/HO/IMD/IMD-II\_DOF7/P/CIR/2021/681 dated December 10, 2021 is applicable from January 1, 2022. Accordingly, information is provided from the previous month of December 31, 2021.

\* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

NA – Not Applicable